

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Attorney Docket No. <b>8074-1103</b> U.S. Application No. <b>107555287</b>
INTERNATIONAL APPLN. NO. <b>PCT/JP2004/006463</b>	INTERNATIONAL FILING DATE <b>13 May 2004</b>	PRIORITY DATE CLAIMED <b>20 May 2003</b>
TITLE OF INVENTION: CARBON NANOHORN ASSEMBLY PRODUCING METHOD		
APPLICANT(S) FOR DO/EO/US: Takeshi AZAMI, Daisuke KASUYA, Tsutomu YOSHITAKE, Yoshimi KUBO, Sumio IIJIMA and Masako YUDASAKA,		
Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. See attached PCT/IB/308.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))</li> <li>10. <input type="checkbox"/> A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv). ))</li> <li>11. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) w/PTO-1449 - <input checked="" type="checkbox"/> Copy of IDS citations.</li> <li>13. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s)).</li> <li>14. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>15. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76.</li> <li>16. <input checked="" type="checkbox"/> Itemized Return Receipt Postcard</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> Power of Attorney and Statement under 37 CFR §3.73(b)             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed Power of Attorney</li> <li>b. <input type="checkbox"/> A change of Power of Attorney and/or change of address letter.</li> </ol> </li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).</li> <li>22. <input checked="" type="checkbox"/> Other items or information: <u>Abstract, International Search Report</u></li> </ol>		

U.S. APPLICATION NO. <b>10/555287</b>	INTERNATIONAL APPLN. NO. PCT/JP2004/006463	ATTORNEY DOCKET NO. 8074-1103
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY
<b>PCT FEES - NATIONAL STAGE</b>		
<b>Fee Description</b>		
Basic National Stage Fee		\$300.00
Non ISA/US Search Provided National Stage Search Fee		\$400.00
<input type="checkbox"/> US was the IPEA And all claims satisfied the provisions of PCT Article 33 (1)-(4) Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input type="checkbox"/> 30 months from the earliest claimed priority date (Fee Code 1617/2617)		\$200.00
<b>National Stage Application</b> size fee each additional 50 sheets in excess of 100 Fee Code 1681/2681		<b>Additional Sheets</b> $33 - 100 = \underline{\quad} = \underline{\quad} X \underline{50}$ <b>Fee From Below</b> <b>\$125.00</b> <b>\$0.00</b>
<b>CLAIMS</b> Independent Claims Fee Codes 1614 / 2614 Total Claims Fee Codes 1615 / 2615 MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616 Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).Fee Code 1618 Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021) \$40.00 per property		<b>NUMBER FILED</b> 1 - 3 = <b>NUMBER EXTRA</b> <b>RATE</b> $\times \$100.00$ <b>\$0.00</b> <b>x \$25.00</b> <b>\$0.00</b> $\times \$360.00$ <b>\$0.00</b> $\times \$40.00$ <b>\$40.00</b>
		<b>TOTAL FEES ENCLOSED</b> = <b>\$940.00</b>
		<b>Amount to be refunded:</b> <b>\$</b> <b>Charged:</b> <b>\$</b>
<input checked="" type="checkbox"/> A check in the amount of <b>\$940.00</b> to cover the above fees is attached. <input type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.		
<b>SEND ALL CORRESPONDENCE TO:</b> YOUNG & THOMPSON 745 South 23 <sup>rd</sup> Street Arlington, VA 22202 Telephone: (703) 521-2297 Facsimile: (703) 685-0573		 <b>SIGNATURE</b> Benoit Castel, Reg. No. 35,041 <b>NAME, REGISTRATION NUMBER</b>
<b>Y&amp;T Customer No. 00466</b> <b>BC/yr</b>		<b>November 2, 2005</b> <b>DATE</b>